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10/737,281	12/16/2003	Gaohong Wei	VRT0112US	6590
66429 7590 11/23/2009 CAMPBELL STEPHENSON LLP 11401 CENTURY OAKS TERRACE BLDG. H, SUITE 250 AUSTIN, TX 78758				
EXAMINER				
PHAM, MICHAEL				
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/737,281

**Applicant(s)**

WEI ET AL.

**Examiner**

MICHAEL PHAM

**Art Unit**

2167

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 04 August 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-32 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-32 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SF/ICE)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

**Detailed Action**

***Status of claims***

1. Claims 1-32 are pending.
2. Claims 1-32 have been examined.

***Claim Rejections - 35 USC § 112***

3. Prior 112 first rejection is withdrawn.
4. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Claims 1, 13, 23, and 28 are rejected under 35 U.S.C. 112, second paragraph, as being incomplete for omitting essential elements, such omission amounting to a gap between the elements. See MPEP § 2172.01. The omitted elements are: when is it that the speculative structure is completely determined, and in the step of "identifying each of said plurality of components using said speculative structure of said database" which does 'each of said plurality of components refer to', the speculative structure or the actual database?

***Claim Rejections - 35 USC § 101***

6. Regarding claims 23-27, claim 23 recites a “machine-readable storage medium”. In the absence of any modifying disclosure of this limitation in the specification, the examiner interprets the terms 'machine-readable storage medium' as limited to statutory embodiments as required under the terms of 35 U.S.C. 101.

7. Regarding claims 28-32 these claims recite a “computer-readable storage medium”. In the absence of any modifying disclosure of this limitation in the specification, the examiner interprets the terms 'computer-readable storage medium' as limited to statutory embodiments as required under the terms of 35 U.S.C. 101.

*Claim Rejections - 35 USC § 103*

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. Claims 1-32 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 7133884 by Murley et. al. (hereafter Murley) further in view of U.S. Patent Application Publication 20050021487 by Verma et. al. (hereafter Verma) and U.S. Patent Application Publication 20030177135 by Lechowicz et. al. (hereafter Lechowicz).

**Claim 1:**

Murley discloses the following claimed limitations:

“determining a speculative structure of said database,” [col. 7 lines 19-22, current snapshot. Accordingly, determining a speculative structure (current snapshot) of said database (target database) is disclosed. ]

“wherein said database comprises the plurality of components and said database is stored on a storage volume;” [col. 2 lines 52-53 discloses identifies one or more source database objects in a database. Col. 4 line 2 discloses targeted database objects as they exist on the storage device or system. Col. 1 lines 15-18 discloses a database is, fundamentally, a computerized record keeping system in which large amounts of information maybe stored in a structured manner for ease of subsequent retrieval and processing. Col. 1 lines 20-22, discloses a dbms, in turn provides four primary functions: management of physical storage. Accordingly, wherein said database (col. 2 lines 52-53, a database) comprises the plurality of components (col. 2 lines 52-53, database objects in a database) and said database is stored (col. 1 lines 15-18, stored) on a storage volume (col. 1 lines 20-22, physical storage) is suggested.]

“and an actual structure of said database is unknown when said determining is performed;” [col. 7 lines 19-22, a consistent copy is created by starting with a current snapshot of the target database, and selectively removing updates back to the user-specified point in time. Accordingly, an actual structure of said database (consistent copy) is unknown when said determining is performed (updates)]

“identifying each of said plurality of components using said speculative structure; and”  
[col. 7 lines 19-22 selectively removing updates back to the user-specified point in time.  
Accordingly, identifying (selectively removing) each of said plurality of components (updates)  
using said speculative structure (current snapshot).]

“Selecting a component of said plurality of components;” [col. 2 lines 54-55, discloses  
obtains a copy of identified one or more source database objects. Accordingly, selecting (obtains  
a copy of identified) a component of said plurality of components (one or more source database  
objects) is disclosed.]

“generating a point-in-time image of said component” [col. 4 lines 1-2, generate a point-  
in-time image of the targeted database objects as they exist on the storage device.]

Murley does not explicitly disclose

“Selecting a data management resource of a plurality of data management resources using  
an attribute of said component; and”

“Generating a point-n-time image of said component using said data management  
resource” alone.

On the other hand, Verma, discloses

“selecting a data management resource of a plurality of data management resources using  
an attribute of said component” [Abstract, each resource manager (data management resources)

independently maintains metadata (attribute) associated with a collection of files (component) that are contained within the scope of a resource manager, such as the files within a subdirectory corresponding to the resource manager. [0036], when a file is accessed in a transacted file system, the file system needs to know exactly which resource manager is responsible for maintaining the transactional metadata for that file. Further disclosing, files may be associated in other ways, such as by having the same file extension, having timestamps within a common time frame, by sizes, and may even have tags stored within that identifies a resource manager through some other means. 0009, users can select properties on a per-resource manager basis such as the size of the log file and/or the type of logging to be performed, to obtain different levels of performance, reliability, feature availability, and manageability within a single volume. Accordingly, selecting a data management resource (0036, which resource manager) of a plurality of data management resources (abstract, each resource manager) using attribute of said component (0009, users can select properties on a per-resource manager basis, such as the size of the log file) is suggested.].

“Generating a point-n-time image of said component using said data management resource” [[0035], The resource manager typically provides transactional services and functionality, e.g. exposed through APIs. Further disclosing, 0035, the resource manager is thus the unit of management for various resources that do not exist in non-transacted file systems as well as for some resources (e.g. log files) that do. [0011], to facilitate use of a resource manager, application programming interfaces may be provided, including functions to create, start, and shut down a resource manager. Other functions may be defined such as to back up and restore

files associated with a resource manager, and employ point-in-time recovery of a particular state in time of a resource manager. Accordingly, generating a point-in-time image (0011, employ point-in-time recover of a particular state in time of a resource manager) of said component (0011, back up and restore files associated with a resource manager) using said data management resource (0011, resource manager) is suggested. ]

Murley, Verma, and Applicant's invention all are directed to recovery systems using point-in-time images of databases. Accordingly, Murley and Verma are within the same field of endeavor. It would have been obvious to a person of an ordinary skill in the art at the time the invention was made to have applied the disclosure resource managers of Murley above, to the disclosure of Verma for the purpose of providing different properties to match different needs of various users, databases, and other entities.

Murley and Verma do not explicitly disclose "said determining said speculative structure of said database comprises selecting said speculative database structure from among a plurality of predefined database structures," and "said speculative structure of said database is a speculative arrangement of database components"

On the other hand, Lechowicz discloses "said determining said speculative structure of said database comprises selecting said speculative database structure from among a plurality of predefined database structures,"[0045, to import information into the database the user will select the data file to be imported. It is then necessary to identify each of the data elements within the

document and the structural relationships between those elements and the database. The data elements and their structural relationships can be determined by the user. Alternatively they may be automatically determined by the invention. Where the data elements and relationships are determined automatically the invention will examine the data file and determine the data elements and their relationships using set parameters. Where the user wishes to pre-define the data elements and/or their relationships the user can browse the document and indicate to the invention where each of the data elements starts and/or finishes and what sort of structural relationship the data element has to other data elements. Accordingly, said determining (identify) said speculative structure (data elements and structural relationships) of said database (data file) comprises selecting said speculative database structure ( parameters/pre-define the data elements and/or their relationships) from among a plurality of predefined database structures (set parameters / pre-define)]

and

“said speculative structure of said database is a speculative arrangement of database components”[ 0045, to import information into the database the user will select the data file to be imported. It is then necessary to identify each of the data elements within the document and the structural relationships between those elements and the database. The data elements and their structural relationships can be determined by the user. Alternatively they may be automatically determined by the invention. Where the data elements and relationships are determined automatically the invention will examine the data file and determine the data elements and their relationships using set parameters. Where the user wishes to pre-define the data elements and/or

their relationships the user can browse the document and indicate to the invention where each of the data elements starts and/or finishes and what sort of structural relationship the data element has to other data elements. Accordingly, said speculative structure (data elements and structural relationships) of said database (database) is a speculative arrangement of database components (what sort of structural relationship the data element has to other data elements)]

Murley, and Verma are directed towards performing back up and recovery of database objects. Lechowicz discloses importing data files for multi-user databases, and allowing for amendments to be made to a file. Thus all are directed towards recovery and backup database objects. It would have been obvious to a person of an ordinary skill in the art at the time the invention was made to have applied Lechowicz's disclosure above to the combination of Murley and Verma for the purpose of allowing for users to receive changes to a data file, and thereby maintain a consistent copy between different users.

**Claim 2:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“performing one or more operations to determine if said speculative structure of said database is equivalent to an actual structure of said database” [col. 7 lines 19-22, a consistent copy is created by starting with a current snapshot of the target database (or portions thereof) and selectively removing updates back to the user-specified point-in-time.]

**Claim 3:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“Selecting said component of said plurality of components to include within a point-in-time images of said database” [col. 2 lines 60-64, creates a snapshot of the one or more source database objects at a time after the point-in-time and in a manner that does not substantially block access to the source database objects in the database, and makes the snapshot consistent as of the point-in-time.]

**Claim 4:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“Selecting at least one of a database directory, a table space container, and a redo log directory.” [col. 4 lines 53-58, if the log entry has not been applied, the update is externalized to the image copy. If the log entry has been applied, the current log entry is skipped and the next log entry is evaluated.]

**Claim 5:**

The combination of Murley, Verma, and Lechowicz discloses in Verma the following claimed limitations:

“Selecting said data management resource using said attribute of said component and a user-defined policy.” [0009, users can select properties (user-defined policy) on a per-resource manager basis, such as the size of the log file (attribute of said component).]

**Claim 6:**

The combination of Murley, Verma, and Lechowicz discloses in Verma the following claimed limitations:

“Selecting said data management resource using at least one of a size attribute, a type attribute, a structure attribute, and a location attribute. “[0009, users can select properties on a per-resource manager basis, such as the size of the log file.]

**Claim 7:**

The combination of Murley, Verma, and Lechowicz discloses in Verma the following claimed limitations:

“Defining a component size range; and” [0009, users can select properties on a per-resource manager basis, such as the size of the log file]

“Selecting said data management resource in response to a determination that said size attribute is within said component size range.” [0009, users can select properties on a per-resource manager basis, such as the size of the log file]

**Claim 8:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“Selecting a point-in-time image creation process” [0011, point-in-time recovery]

**Claim 9:**

The combination of Murley, Verma, and Lechowicz discloses in Verma the following claimed limitations:

“wherein said point-in-time image creation process comprises at least one of: a file-level point-in-time image creation process, a directory-level point-in-time image creation process, a file system-level point-in-time image creation process, a storage device-level point-in-time image creation process, a volume-level point-in-time image creation process, and a volume group-level point-in-time image creation process” [0011, back up and restore the files].

**Claim 10:**

The combination of Murley, Verma, and Lechowicz discloses in Murley discloses the following claimed limitations:

“Selecting at least one of: a snapshot creation process, a storage checkpoint creation process, and a file copy command, and a backup utility process” [col. 2 lines 60, creates a snapshot]

**Claim 11:**

The combination of Murley, Verma, and Lechowicz discloses in Murley discloses the following claimed limitations:

“Restoring said database using said point-in-time image of said component.” [col. 2 lines 44-51, recover database objects]

**Claim 12:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“Said database is initially stored within a first storage region” [col. 5 line 13, original or source database]

“Said restoring comprises,

Restoring said database to a second storage region”[col. 5 lines 17-20, can both generate a copy of a database that is consistent at a specified arbitrary time, or it can recover and replace a database with a consistent point-in-time copy of itself]

**Claim 13:**

Murley discloses the following claimed limitations:

“means for determining a speculative structure of said database;” [col. 7 lines 19-22, current snapshot. Accordingly, determining a speculative structure (current snapshot) of said database (target database) is disclosed.]

“, wherein said database comprises the plurality of components;” [col. 2 lines 52-53, discloses identifies one or more source database objects in a database. Col. 4 line 2 discloses targeted database objects as they exist on the storage device or system. Col. 1 lines 15-18 discloses a database is, fundamentally, a computerized record keeping system in which large amounts of information maybe stored in a structured manner for ease of subsequent retrieval and processing. Col. 1 lines 20-22, discloses a dbms, in turn provides four primary functions: management of physical storage. Accordingly, wherein said database (col. 2 lines 52-53, a database) comprises the plurality of components (col. 2 lines 52-53, database objects in a database) is suggested.]

“and an actual structure of said database is unknown when said determining is performed;” [col. 7 lines 19-22, a consistent copy is created by starting with a current snapshot of the target database, and selectively removing updates back to the user-specified point in time. Accordingly, an actual structure of said database (consistent copy) is unknown when said determining is performed (updates)]

“means for identifying each of said plurality of components using said speculative structure; and” [col. 7 lines 19-22 selectively removing updates back to the user-specified point in time. Accordingly, identifying (selectively removing) each of said plurality of components (updates) using said speculative structure (current snapshot).]

“and hardware storage means for storing said database”[col. 1 lines 15-18 and col. 1 lines 20-22]

However, Murley does not explicitly disclose

“means for associating a data management resource with a component of said plurality of components; and”

“means for generating a point-in-time image of said component using said data management resource”

On the other hand, Verma, discloses

“Means for associating a data management resource with a component of said plurality of components; and” [Abstract, each resource manager (data management resources) independently maintains metadata associated (associated) with a collection of files (component) that are contained within the scope of a resource manager, such as the files within a subdirectory corresponding to the resource manager. [0036], when a file is accessed in a transacted file system, the file system needs to know exactly which resource manager is responsible for

maintaining the transactional metadata for that file. Further disclosing, files may be associated in other ways, such as by having the same file extension, having timestamps within a common time frame, by sizes, and may even have tags stored within that identifies a resource manager through some other means. 0009, users can select properties on a per-resource manager basis such as the size of the log file and/or the type of logging to be performed, to obtain different levels of performance, reliability, feature availability, and manageability within a single volume. Accordingly, means for associating a data management resource with a component of said plurality of components (0009, users can select properties on a per-resource manager basis, such as the size of the log file) is suggested.].

“means for generating a point-n-time image of said component using said data management resource” [[0035], The resource manager typically provides transactional services and functionality, e.g. exposed through APIs. Further disclosing, 0035, the resource manager is thus the unit of management for various resources that do not exist in non-transacted file systems as well as for some resources (e.g. log files) that do. [0011], to facilitate use of a resource manager, application programming interfaces may be provided, including functions to create, start, and shut down a resource manager. Other functions may be defined such as to back up and restore files associated with a resource manager, and employ point-in-time recovery of a particular state in time of a resource manager. Accordingly, means for generating a point-in-time image (0011, employ point-in-time recover of a particular state in time of a resource manager) of said component (0011, back up and restore files associated with a resource manager) using said data management resource (0011, resource manager) is suggested. ]

Murley, Verma, and Applicant's invention all are directed to recovery systems using point-in-time images of databases. Accordingly, Murley and Verma are within the same field of endeavor. It would have been obvious to a person of an ordinary skill in the art at the time the invention was made to have applied the disclosure resource managers of Murley above, to the disclosure of Verma for the purpose of providing different properties to match different needs of various users, databases, and other entities.

Murley and Verma do not explicitly disclose "said means for determining said speculative structure of said database comprises means for selecting said speculative database structure from among a plurality of predefined database structures," and "said speculative structure of said database is a speculative arrangement of database components"

On the other hand, Lechowicz discloses "said means for determining said speculative structure of said database comprises means for selecting said speculative database structure from among a plurality of predefined database structures,"[0045, to import information into the database the user will select the data file to be imported. It is then necessary to identify each of the data elements within the document and the structural relationships between those elements and the database. The data elements and their structural relationships can be determined by the user. Alternatively they may be automatically determined by the invention. Where the data elements and relationships are determined automatically the invention will examine the data file and determine the data elements and their relationships using set parameters. Where the user

wishes to pre-define the data elements and/or their relationships the user can browse the document and indicate to the invention where each of the data elements starts and/or finishes and what sort of structural relationship the data element has to other data elements. Accordingly, said means for determining (identify) said speculative structure (data elements and structural relationships) of said database (data file) comprises means for selecting said speculative database structure ( parameters/pre-define the data elements and/or their relationships) from among a plurality of predefined database structures (set parameters / pre-define)]

and

“said speculative structure of said database is a speculative arrangement of database components”[ 0045, to import information into the database the user will select the data file to be imported. It is then necessary to identify each of the data elements within the document and the structural relationships between those elements and the database. The data elements and their structural relationships can be determined by the user. Alternatively they may be automatically determined by the invention. Where the data elements and relationships are determined automatically the invention will examine the data file and determine the data elements and their relationships using set parameters. Where the user wishes to pre-define the data elements and/or their relationships the user can browse the document and indicate to the invention where each of the data elements starts and/or finishes and what sort of structural relationship the data element has to other data elements. Accordingly, said speculative structure (data elements and structural relationships) of said database (database) is a speculative arrangement of database components (what sort of structural relationship the data element has to other data elements))]

Murley, and Verma are directed towards performing back up and recovery of database objects. Lechowicz discloses importing data files for multi-user databases, and allowing for amendments to be made to a file. Thus all are directed towards recovery and backup database objects. It would have been obvious to a person of an ordinary skill in the art at the time the invention was made to have applied Lechowicz's disclosure above to the combination of Murley and Verma for the purpose of allowing for users to receive changes to a data file, and thereby maintain a consistent copy between different users.

**Claim 14:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“performing one or more operations to determine if said speculative structure of said database is equivalent to an actual structure of said database” [col. 7 lines 19-22, a consistent copy is created by starting with a current snapshot of the target database (or portions thereof) and selectively removing updates back to the user-specified point-in-time.]

**Claim 15:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“Means for associating a point-in-time image creation process with said component of said plurality of components” [figure 1, element 110, snapshot designated objects].

**Claim 16:**

The combination of Murley, Verma, and Lechowicz discloses in Verma the following claimed limitations:

“Means for associating said data management resource with said component of said plurality of components using an attribute of said component” [Abstract, each resource manager (data management resources) independently maintains metadata (attribute of said component) associated (associated) with a collection of files (component) that are contained within the scope of a resource manager, such as the files within a subdirectory corresponding to the resource manager.]

**Claim 17:**

The combination of Murley, Verma, and Lechowicz discloses in Verma the following claimed limitations:

“Means for associating said data management resource with said component of said plurality of components using a user-defined policy” [0009, users can select properties (user-defined policy) on a per-resource manager (data management resource) basis, such as the size of (attribute of) the log file (said components of said plurality of components).]

**Claim 18:**

The combination of Murley, Verma, and Lechowicz discloses in Verma the following claimed limitations:

“Means for associating said data management resource with said component of said plurality of components using at least one of a size attribute, a type attribute, a structure attribute, and a location attribute” [0009, users can select properties on a per-resource manager basis, such as the size of the log file]

**Claim 19:**

The combination of Murley, Verma, and Lechowicz discloses in Verma the following claimed limitations:

“Means for defining a component size range; and” [0009, users can select properties on a per-resource manager basis, such as the size of the log file]

“Means for associating said data management resource with said component in response to a determination that said size attribute is within said component size range” [0009, users can select properties on a per-resource manager basis, such as the size of the log file]

**Claim 20:**

The combination of Murley, Verma, and Lechowicz discloses in Verma the following claimed limitations:

“Means for generating a point-in-time image of said database” [col. 2 lines 46-48].

**Claim 21:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“Means for restoring said database using said point-in-time image of said component”  
[col. 2 lines 44-51, recover database objects]

**Claim 22:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“Said database is initially stored within a first storage region, and” [col. 5 line 13, original or source database]

“Said means for restoring comprises,  
Means for restoring said database to a second storage region” [col. 5 lines 17-20,  
can both generate a copy of a database that is consistent at a specified arbitrary time, or it can  
recover and replace a database with a consistent point-in-time copy of itself].

**Claim 23:**

Murley discloses the following claimed limitations:

“A machine-readable storage medium having a plurality of instructions executable by a  
machine embodied therein, wherein said plurality of instructions when executed cause said  
machine to:

determining a speculative structure of said database;” [col. 7 lines 19-22, current  
snapshot. Accordingly, determining a speculative structure (current snapshot) of said database  
(target database) is disclosed.]

“, wherein said database comprises the plurality of components,” [col. 2 lines 52-53 discloses identifies one or more source database objects in a database. Col. 4 line 2 discloses targeted database objects as they exist on the storage device or system. Col. 1 lines 15-18 discloses a database is, fundamentally, a computerized record keeping system in which large amounts of information maybe stored in a structured manner for ease of subsequent retrieval and processing. Col. 1 lines 20-22, discloses a dbms, in turn provides four primary functions: management of physical storage. Accordingly, wherein said database (col. 2 lines 52-53, a database) comprises the plurality of components (col. 2 lines 52-53, database objects in a database) and said database is stored (col. 1 lines 15-18, stored) on a storage volume (col. 1 lines 20-22, physical storage) is suggested.]

“an actual structure of said database is unknown when said determining is performed;” [col. 7 lines 19-22, a consistent copy is created by starting with a current snapshot of the target database, and selectively removing updates back to the user-specified point in time. Accordingly, an actual structure of said database (consistent copy) is unknown when said determining is performed (updates)]

“and said database is stored on a storage volume;” [ Accordingly, and said database is stored (col. 1 lines 15-18, stored) on a storage volume (col. 1 lines 20-22, physical storage)]

“identifying each of said plurality of components using said speculative structure; and” [col. 7 lines 19-22 selectively removing updates back to the user-specified point in time. Accordingly, identifying (selectively removing) each of said plurality of components (updates) using said speculative structure (current snapshot).]

“Select a component of said plurality of components;” [col. 2 lines 54-55, discloses obtains a copy of identified one or more source database objects. Accordingly, selecting (obtains a copy of identified) a component of said plurality of components (one or more source database objects) is suggested.]

“generating a point-in-time image of said component” [col. 4 lines 1-2, generate a point-in-time image of the targeted database objects as they exist on the storage device.]

Murley does not explicitly disclose

“Select a data management resource of a plurality of data management resources using an attribute of said component; and”

“Generate a point-n-time image of said component using said data management resource” alone.

On the other hand, Verma, discloses

“select a data management resource of a plurality of data management resources using an attribute of said component” [Abstract, each resource manager (data management resources) independently maintains metadata (attribute) associated with a collection of files (component) that are contained within the scope of a resource manager, such as the files within a subdirectory corresponding to the resource manager. [0036], when a file is accessed in a transacted file system, the file system needs to know exactly which resource manager is responsible for

maintaining the transactional metadata for that file. Further disclosing, files may be associated in other ways, such as by having the same file extension, having timestamps within a common time frame, by sizes, and may even have tags stored within that identifies a resource manager through some other means. 0009, users can select properties on a per-resource manager basis such as the size of the log file and/or the type of logging to be performed, to obtain different levels of performance, reliability, feature availability, and manageability within a single volume. Accordingly, selecting a data management resource (0036, which resource manager) of a plurality of data management resources (abstract, each resource manager) using attribute of said component (0009, users can select properties on a per-resource manager basis, such as the size of the log file) is suggested.].

“Generate a point-n-time image of said component using said data management resource”  
[[0035], The resource manager typically provides transactional services and functionality, e.g. exposed through APIs. Further disclosing, 0035, the resource manager is thus the unit of management for various resources that do not exist in non-transacted file systems as well as for some resources (e.g. log files) that do. [0011], to facilitate use of a resource manager, application programming interfaces may be provided, including functions to create, start, and shut down a resource manager. Other functions may be defined such as to back up and restore files associated with a resource manager, and employ point-in-time recovery of a particular state in time of a resource manager. Accordingly, generating a point-in-time image (0011, employ point-in-time recover of a particular state in time of a resource manager) of said component

(0011, back up and restore files associated with a resource manager) using said data management resource (0011, resource manager) is suggested. ]

Murley, Verma, and Applicant's invention all are directed to recovery systems using point-in-time images of databases. Accordingly, Murley and Verma are within the same field of endeavor. It would have been obvious to a person of an ordinary skill in the art at the time the invention was made to have applied the disclosure resource managers of Murley above, to the disclosure of Verma for the purpose of providing different properties to match different needs of various users, databases, and other entities.

Murley and Verma do not explicitly disclose "said speculative structure of said database selected from among a plurality of predefined database structures," and "said speculative structure of said database is a speculative arrangement of database components"

On the other hand, Lechowicz discloses "said determining said speculative structure of said database comprises selecting said speculative database structure from among a plurality of predefined database structures,"[0045, to import information into the database the user will select the data file to be imported. It is then necessary to identify each of the data elements within the document and the structural relationships between those elements and the database. The data elements and their structural relationships can be determined by the user. Alternatively they may be automatically determined by the invention. Where the data elements and relationships are determined automatically the invention will examine the data file and determine the data

elements and their relationships using set parameters. Where the user wishes to pre-define the data elements and/or their relationships the user can browse the document and indicate to the invention where each of the data elements starts and/or finishes and what sort of structural relationship the data element has to other data elements. Accordingly, said speculative structure (data elements and structural relationships) of said database (data file) is selected from among a plurality of predefined database structures (set parameters / pre-define the data elements and/or their relationships)]

and

“said speculative structure of said database is a speculative arrangement of database components”[ 0045, to import information into the database the user will select the data file to be imported. It is then necessary to identify each of the data elements within the document and the structural relationships between those elements and the database. The data elements and their structural relationships can be determined by the user. Alternatively they may be automatically determined by the invention. Where the data elements and relationships are determined automatically the invention will examine the data file and determine the data elements and their relationships using set parameters. Where the user wishes to pre-define the data elements and/or their relationships the user can browse the document and indicate to the invention where each of the data elements starts and/or finishes and what sort of structural relationship the data element has to other data elements. Accordingly, said speculative structure (data elements and structural relationships) of said database (database) is a speculative arrangement of database components (what sort of structural relationship the data element has to other data elements)]

Murley, and Verma are directed towards performing back up and recovery of database objects. Lechowicz discloses importing data files for multi-user databases, and allowing for amendments to be made to a file. Thus all are directed towards recovery and backup database objects. It would have been obvious to a person of an ordinary skill in the art at the time the invention was made to have applied Lechowicz's disclosure above to the combination of Murley and Verma for the purpose of allowing for users to receive changes to a data file, and thereby maintain a consistent copy between different users.

**Claim 24:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“performing one or more operations to determine if said speculative structure of said database is equivalent to an actual structure of said database” [col. 7 lines 19-22, a consistent copy is created by starting with a current snapshot of the target database (or portions thereof) and selectively removing updates back to the user-specified point-in-time.]

**Claim 25:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“Selecting said component of said plurality of components to include within a point-in-time images of said database” [col. 2 lines 60-64, creates a snapshot of the one or more source database objects at a time after the point-in-time and in a manner that does not substantially block access to the source database objects in the database, and makes the snapshot consistent as of the point-in-time.]

**Claim 26:**

The combination of Murley, Verma, and Lechowicz discloses in Verma the following claimed limitations:

“Selecting said data management resource using said attribute of said component and a user-defined policy.” [0009, users can select properties (user-defined policy) on a per-resource manager basis, such as the size of the log file (attribute of said component).]

**Claim 27:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“Selecting a point-in-time image creation process” [0011, point-in-time recovery]

**Claim 28:**

Murley discloses the following claimed limitations:

“A first computer-readable storage medium to store a database; and” [original or source database]

“A point-in-time image utility configured to,

Access said first storage element;” [col. 2 lines 51-52, identifies one or more source database objects]

“determining a speculative structure of said database;” [col. 7 lines 19-22, current snapshot. Accordingly, determining a speculative structure (current snapshot) of said database (target database) is disclosed]

“, wherein said database comprises the plurality of components;” [col. 2 lines 52-53 discloses identifies one or more source database objects in a database. Col. 4 line 2 discloses targeted database objects as they exist on the storage device or system. Col. 1 lines 15-18 discloses a database is, fundamentally, a computerized record keeping system in which large amounts of information maybe stored in a structured manner for ease of subsequent retrieval and processing. Col. 1 lines 20-22, discloses a dbms, in turn provides four primary functions: management of physical storage. Accordingly, wherein said database (col. 2 lines 52-53, a database) comprises the plurality of components (col. 2 lines 52-53, database objects in a database) is suggested.]

“an actual structure of said database is unknown when said determining is performed;” [col. 7 lines 19-22, a consistent copy is created by starting with a current snapshot of the target database, and selectively removing updates back to the user-specified point in time. Accordingly, an actual structure of said database (a consistent copy) is unknown when said determining is performed (updates).]

“identify each of said plurality of components using said structure; and” [col. 7 lines 19-22 selectively removing updates back to the user-specified point in time.

Accordingly, identifying (selectively removing) each of said plurality of components (updates) using said speculative structure (current snapshot)]

“Select a component of said plurality of components;” [col. 2 lines 54-55, discloses obtains a copy of identified one or more source database objects. Accordingly, selecting (obtains a copy of identified) a component of said plurality of components (one or more source database objects) is suggested.]

“generating a point-in-time image of said component” [col. 4 lines 1-2, generate a point-in-time image of the targeted database objects as they exist on the storage device.]

Murley does not explicitly disclose

“Select a data management resource of a plurality of data management resources using an attribute of said component; and”

“Generate a point-n-time image of said component using said data management resource” alone.

On the other hand, Verma, discloses

“select a data management resource of a plurality of data management resources using an attribute of said component” [Abstract, each resource manager (data management resources) independently maintains metadata (attribute) associated with a collection of files (component) that are contained within the scope of a resource manager, such as the files within a subdirectory corresponding to the resource manager. [0036], when a file is accessed in a transacted file

system, the file system needs to know exactly which resource manager is responsible for maintaining the transactional metadata for that file. Further disclosing, files may be associated in other ways, such as by having the same file extension, having timestamps within a common time frame, by sizes, and may even have tags stored within that identifies a resource manager through some other means. 0009, users can select properties on a per-resource manager basis such as the size of the log file and/or the type of logging to be performed, to obtain different levels of performance, reliability, feature availability, and manageability within a single volume. Accordingly, selecting a data management resource (0036, which resource manager) of a plurality of data management resources (abstract, each resource manager) using attribute of said component (0009, users can select properties on a per-resource manager basis, such as the size of the log file) is suggested.].

“Generate a point-n-time image of said component using said data management resource”  
[[0035], The resource manager typically provides transactional services and functionality, e.g. exposed through APIs. Further disclosing, 0035, the resource manager is thus the unit of management for various resources that do not exist in non-transacted file systems as well as for some resources (e.g. log files) that do. [0011], to facilitate use of a resource manager, application programming interfaces may be provided, including functions to create, start, and shut down a resource manager. Other functions may be defined such as to back up and restore files associated with a resource manager, and employ point-in-time recovery of a particular state in time of a resource manager. Accordingly, generating a point-in-time image (0011, employ point-in-time recover of a particular state in time of a resource manager) of said component

(0011, back up and restore files associated with a resource manager) using said data management resource (0011, resource manager) is suggested. ]

Murley, Verma, and Applicant's invention all are directed to recovery systems using point-in-time images of databases. Accordingly, Murley and Verma are within the same field of endeavor. It would have been obvious to a person of an ordinary skill in the art at the time the invention was made to have applied the resource managers of Murley above, to the disclosure of Verma for the purpose of providing different properties to match different needs of various users, databases, and other entities.

Murley and Verma do not explicitly disclose "said determining said speculative structure of said database comprises selecting said speculative database structure from among a plurality of predefined database structures," and "said speculative structure of said database is a speculative arrangement of database components"

On the other hand, Lechowicz discloses "said determining said speculative structure of said database comprises selecting said speculative database structure from among a plurality of predefined database structures,"[0045, to import information into the database the user will select the data file to be imported. It is then necessary to identify each of the data elements within the document and the structural relationships between those elements and the database. The data elements and their structural relationships can be determined by the user. Alternatively they may be automatically determined by the invention. Where the data elements and relationships are

determined automatically the invention will examine the data file and determine the data elements and their relationships using set parameters. Where the user wishes to pre-define the data elements and/or their relationships the user can browse the document and indicate to the invention where each of the data elements starts and/or finishes and what sort of structural relationship the data element has to other data elements. Accordingly, said determining (identify) said speculative structure (data elements and structural relationships) of said database (data file) comprises selecting said speculative database structure ( parameters/pre-define the data elements and/or their relationships) from among a plurality of predefined database structures (set parameters / pre-define)]

and

“said speculative structure of said database is a speculative arrangement of database components”[ 0045, to import information into the database the user will select the data file to be imported. It is then necessary to identify each of the data elements within the document and the structural relationships between those elements and the database. The data elements and their structural relationships can be determined by the user. Alternatively they may be automatically determined by the invention. Where the data elements and relationships are determined automatically the invention will examine the data file and determine the data elements and their relationships using set parameters. Where the user wishes to pre-define the data elements and/or their relationships the user can browse the document and indicate to the invention where each of the data elements starts and/or finishes and what sort of structural relationship the data element has to other data elements. Accordingly, said speculative structure (data elements and structural

relationships) of said database (database) is a speculative arrangement of database components (what sort of structural relationship the data element has to other data elements))]

Murley, and Verma are directed towards performing back up and recovery of database objects. Lechowicz discloses importing data files for multi-user databases, and allowing for amendments to be made to a file. Thus all are directed towards recovery and backup database objects. It would have been obvious to a person of an ordinary skill in the art at the time the invention was made to have applied Lechowicz's disclosure above to the combination of Murley and Verma for the purpose of allowing for users to receive changes to a data file, and thereby maintain a consistent copy between different users.

**Claim 29:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“A memory to execute said point-in-time image utility; and” [col. 7 lines 50-55, memory]

“A processor coupled to said memory to execute said point-in-time image utility.” [col. 7 lines 55-58, processor]

**Claim 30:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“wherein said first node comprises said first storage element and said point-in-time image utility.” [col. 5 line 13, original or source database]

**Claim 31:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“a second node communicatively coupled to said first node, wherein said second node comprises a second storage element to store said point-in-time image of said component.” [col. 5 lines 17-20, can both generate a copy of a database that is consistent at a specified arbitrary time, or it can recover and replace a database with a consistent point-in-time copy of itself]

**Claim 32:**

The combination of Murley, Verma, and Lechowicz discloses in Murley the following claimed limitations:

“perform one or more operations to determine if said speculative structure of said database is equivalent to an actual structure of said database” [col. 7 lines 19-22, a consistent copy is created by starting with a current snapshot of the target database (or portions thereof) and selectively removing updates back to the user-specified point-in-time.]

10. Applicant's arguments with respect to claim 1-32 have been considered but are moot in view of the new ground(s) of rejection.

Applicant's primarily asserted that Murray does not disclose the "speculative structure" that this is because "selecting said speculative structure of a database from among a plurality of predefined database structures" and that "said speculative structure of said database is a speculative arrangement of database components" is not disclosed. That the "speculative structure" is not disclosed because Murray's snapshot and the speculative structure is determined in different ways.

In response, this is now moot.

***Conclusion***

11. The prior art made of record listed on PTO-892 and not relied, if any, upon is considered pertinent to applicant's disclosure.

12. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

***Contact Information***

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael D. Pham whose telephone number is (571)272-3924. The examiner can normally be reached on Monday - Friday 9am - 5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John R. Cottingham can be reached on 571-272-7079. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/M. P./  
Examiner, Art Unit 2167

/John R. Cottingham/  
Supervisory Patent Examiner, Art Unit  
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